

Please type a plus sign (+) inside this box → ⊕

011604
 16179 U.S. PTO

15757 U.S. PTO
 101760083
 011604

UTILITY PATENT APPLICATION TRANSMITTAL	<i>Attorney Docket No.</i> A36121-PCT-USA-A
	<i>First Inventor</i> Blackburn et al.
	<i>Title</i> FILTER ELEMENT * see attached
(Only for new nonprovisional applications under 37 CFR 1.53(b))	<i>Express Mail Label No.</i> ER589237432US

APPLICATION ELEMENTS	ADDRESS TO: Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450
See MPEP chapter 600 concerning utility patent application contents.	

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings *(if filed)*
 - Detailed Description
- Claim(s) [Total Sheets]
 Abstract of the Disclosure [Total Sheets]
4. Drawing(s) (35 U.S.C. 113) [Total Sheets]
5. Oath or Declaration [Total Pages]
 - a. Newly executed (original or copy)
Copy from a prior application (37 CFR 1.63 (d))
 - b. *(for continuation/divisional with Box 18 completed)*
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76

7. CD-ROM or CD-R in duplicate, large table or Computer Program *(Appendix)*
8. Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS	
9.	<input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))
10.	<input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i>
11.	<input type="checkbox"/> English Translation Document <i>(if applicable)</i>
12.	<input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations
13.	<input checked="" type="checkbox"/> Preliminary Amendment
14.	<input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>
15.	<input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>
16.	<input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.	<input type="checkbox"/> Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation
 Divisional
 Continuation-in-part (CIP)
 of prior application No.: PCT/GB02/03259

Prior application information: Examiner _____ Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	21003	or <input type="checkbox"/> Correspondence address below
(Insert Customer No. or Attach bar code label here)		
Name		
Address		
City	State	Zip Code
Country	Telephone	Fax

<i>Name (Print/Type)</i> Rochelle K. Seide	<i>Registration No. (Attorney/Agent)</i> 32,300
<i>Signature</i>	<i>Date</i> 01/16/04

The PTO did not receive the following listed item(s) *Assignment & cover sheet*

011604

16179
U.S. PTO

BAKER BOTTS LLP

FEE TRANSMITTAL for FY 2003

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 810

Complete if Known

Application Number	Not Yet Assigned
Filing Date	Herewith
First Named Inventor	Blackburn et al.
Examiner Name	Not Yet Assigned
Art Unit	Not Yet Assigned
Attorney Docket No.	A36121-PCT-USA-A

METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order Other None

Deposit Account:

Deposit Account Number: **02-4377**
Deposit Account Name: **Baker Botts LLP**

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments

Charge any additional fee required under 37CFR 1.16 and 1.17

Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	770
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)			(\$) 770

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
20	2		20 - 20 = 0	0	0
			2 - 3 = 0	0	0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1202 18	2202 9	Claims in excess of 20	
1201 86	2201 43	Independent claims in excess of 3	
1203 290	2203 145	Multiple dependent claim, if not paid	
1204 86	2204 43	** Reissue independent claims over original patent	
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)			(\$) 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	40
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 40

SUBMITTED BY

Name (Print/Type)

Rochelle K. Seide

Signature

Rochelle K. Seide

Registration No. (Attorney/Agent)

32,300

(Complete if applicable)

Telephone 212.408.2626

Date

January 16, 2004

Addendum Sheet 1

*Question 4 Addendum

4.a. Drawings are formal informal

* Question 5 Addendum

5.c. An unsigned oath or declaration is included.

* Question 8 Addendum

8.d. A sequence submission will follow.

* Question 9 Addendum

9.a. Assignment documents will follow.

9.b. Assignment documents have been filed in parent application No.

* Question 11 Addendum

11.a. English translation will follow.

* Question 12 Addendum

12.a. Copies of IDS citations will follow.

* Question 15 Addendum

15.a. Certified copies of priority documents will follow.

15.b. Certified copies of priority documents have been filed in parent application No.

Use the space below for additional information

Title (continued):

FILTER ELEMENT

Addendum Sheet 2

18a. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No.: PCT/GB02/03259
Prior application information: Examiner: _____ Group Art Unit: _____

18b. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No.: _____
Prior application information: Examiner: _____ Group Art Unit: _____

18c. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No.: _____
Prior application information: Examiner: _____ Group Art Unit: _____

Amend the specification by inserting before the first line the sentence(s) PCT/GB02/03259

"This application is a Continuation Divisional Continuation-in-part (CIP) of:

"This application is based upon:
prior application No.: _____, incorporated by reference herein,

which is a Continuation Divisional Continuation-in-part (CIP) of:

which is based upon:
prior application No. GB 0117212.1, incorporated by reference herein,

which is a Continuation Divisional Continuation-in-part (CIP) of:

which is based upon:
prior application No.: _____, incorporated by reference herein,

which is a Continuation Divisional Continuation-in-part (CIP) of:

which is based upon:
prior application No.: _____, incorporated by reference herein,

which is a Continuation Divisional Continuation-in-part (CIP) of:

which is based upon:
prior application No. _____, incorporated by reference herein,

which is a Continuation Divisional Continuation-in-part (CIP) of:

which is based upon:
prior application No. _____, incorporated by reference herein

Priority under 35.U.S.C. §119 is claimed based upon the following applications.

Foreign Applications:

Country: <u>WO</u>	Serial No: <u>PCT/GB02/03259</u>	Filing Date: <u>July 16, 2002</u>
Country: <u>GB</u>	Serial No: <u>0117212.1</u>	Filing Date: <u>July 16, 2001</u>
Country: _____	Serial No: _____	Filing Date: _____

Provisional Applications:

Serial No: _____	Filing Date: _____
Serial No: _____	Filing Date: _____
Serial No: _____	Filing Date: _____

CERTIFICATION UNDER 37 C.F.R. 1.8(a) OR 1.10*

(When using Express Mail, the Express Mail label number is mandatory; Express Mail Certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

deposited with the United States Postal Service in an envelope addressed to the
Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450

37 C.F.R. 1.8(a)

with sufficient postage as first class mail.

37 C.F.R. 1.10*

as "Express Mail Post Office to Address"
Mailing Label No. ER589237432US (mandatory)

Rochelle K. Seide
Signature

Date: January 16, 2004

Rochelle K. Seide
(type or print name of person certifying)

***WARNING:** Each paper of fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing 37 C.F.R. 1.10(b).
"Since the filing of correspondence under § 1.10 without the Express Mail label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition. "Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.